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COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/005,467

12/04/2001

Keith D. Allen

R-758

**CONFIRMATION NO. 7217** 

FORMALITIES LETTER

\*OC000000007301515\*

DELTAGEN, INC. 740 Bay Road

Redwood City, CA 94063

04 FC:203

Date Mailed: 01/14/2002

Adjustment date: 04/29/2002 SDIRETA1 03/20/2002 SZEMDIE1 00000047 10005467 03 FC:202 -81.00 OP

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/20/2002 SZEWDIE1 00000047 10005467

01 FC:201 02 FC:205 03 FC<del>:202</del> 04 FC<del>:203</del> 05 FC:204

370.00 OP 65.00 OP FILED UNDER 37 CFR 1.53(b)

546.00 OP 81.00-0P 140.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$716.
  - \$72 for 8 total claims over 20.
  - \$504 for 12 independent claims over 3.
  - \$140 for multiple dependent claim surcharge.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1151.

04/29/2002 SDIRETA1 00000025 10005467

01 FC:203 02 FC:20<del>2</del>

72.00 OP

A copy of this notice MUST be returned with the reply.

Repln. Ref: 04/29/2002 SDIRETA1 0011190400 DAR:501271 Hame/Humber:10005467

FC: 704

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE FILING COMPLETION UNDER RULE 53(f)

## APPLICATION of:

Inventors:

Keith D. Allen

Appln. No.: 10/005,467

Filed: `Title:

**December 4, 2001** 

**Transgenic Mice Containing PTP36 Tyrosine** 

**Phosphatase Gene Disruptions** 

Order/Docket No.

R-758

Deposit Account No.

50-1271

Customer No.

26619

COPY OF PAPERS

ORIGINALLY FILED

Date: March 8, 2002

Commissioner for Patents **BOX MISSING PARTS** Washington, D.C. 20231

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

- 1. 🗷 Enclosed is a copy of the Notice to File Missing Parts.
- 2. 🗵 Signed Declaration enclosed:
- ☑ Original ☐ facsimile/copy
- Enclosed is an Assignment and cover sheet. Please return the recorded Assignment to the undersigned. 3. 🗷
- Applicants hereby claim "small entity" status in accordance with 37 CFR §1.27. 4. 🗵

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

<ul><li>12. Petition is hereby mad this response, for which the</li><li>13. If Assignment is included</li></ul>		ed.		\$ 40.00		581
		ed.				
	12. Petition is hereby made to extend the original due date to cover the date of this response, for which the requisite fee is enclosed.				0. 0	215
11. Original due date: Ma	rch 14, 2002					
10.	FILING FEE: D	CHARGE AUTHOR	ZATION	☑ ENCLOSED	= \$ 1202.00	
9. Surcharge for filing Declaration/filing fee late				65.00	65.00	205
8. Multiple Dependent Claims				140.00	140.00	204
7. Independent Claims	16	minus 3 =	13	x 42.00	546.00	202
6. Total Claims	29	minus 20 =	9	x 9.00	81.00	203
6. Total Claims	5. Basic Filing Fee				370.00	201

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any missing fees required, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed.

**DELTAGEN, INC.** 

740 Bay Road Redwood City, CA 94063 Tel (650) 569-5100 Fax (650) 569-5280

I certify that the enclosed documents are being deposited with the U.S. Postal Service as First Class Mail, postage paid, in an envelope addressed to: Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231, on March 8, 2002.

Deb**G**ah A. Mojarro

R-758/MAL